

REQUEST FOR QUALIFICATIONS

CONSTRUCTION ENGINEERING AND INSPECTION SERVICES FOR OVERTOWN GREENWAY AT NW 11TH TERRACE PROJECT NO.: B-30624

RFQ NUMBER 16-17-034

ISSUE DATE AUGUST 18, 2017

ADDITIONAL INFORMATION & CLARIFICATION DEADLINE SEPTEMBER 1, 2017

RESPONSE SUBMISSION DUE DATE SEPTEMBER 19, 2017 2:00 PM

CONTACT

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City of Miami

PUBLIC NOTICE

CITY OF MIAMI REQUEST FOR QUALIFICATIONS

CONSTRUCTION ENGINEERING AND INSPECTION SERVICES FOR OVERTOWN GREENWAY AT NW 11TH TERRACE

PROJECT NO.: B-30624

RFQ NO: 16-17-034

SECTION 1

1.0: INTRODUCTION TO THE PROJECT

1.0 PURPOSE

The purpose of this RFQ is to enter into a Professional Services Agreement ("Exhibit 1"), herein referred to as PSA or Agreement, with an engineering firm that has been prequalified by the Florida Department of Transportation ("FDOT") to provide Construction Engineering and Inspection ("CEI") services during construction of the Overtown Greenway at NW 11th Terrace project (the "Project").

The scope of construction work for the Project shall include road improvements such as drainage system installations, milling and resurfacing, new sidewalk replacement, striping, signs, landscaping, hardscape, decorative street and pedestrian lighting, ADA compliant ramps, and compliance based on the Miami River Greenways Master Plan.

The Successful Proposer shall provide engineering and other technical personnel to provide CEI Services for the City of Miami's ("City") Office of Capital Improvements who shall manage the construction project.

1.1 <u>FDOT/LOCAL AGENCY PROGRAM FUNDING - PREQUALIFIED CONSULTANTS</u> AND SUB-CONSULTANTS ONLY

THE FDOT/LOCAL AGENCY PROGRAM FUNDING FOR SERVICES PURSUANT TO THIS RFQ REQUIRES THAT ALL PROPOSERS AND THEIR SUB-CONSULTANTS HAVE BEEN PREQUALIFIED BY FDOT, IN ACCORDANCE WITH FDOT STANDARD



WORK TYPES 10.1 - ROADWAY CONSTRUCTION ENGINEERING INSPECTION, 10.3 - CONSTRUCTION MATERIALS INSPECTION, AND 11 - ENGINEERING CONTRACT ADMINITRATION AND MANAGEMENT, TO PROVIDE THE CEI SERVICES REQUIRED DURING CONSTRUCTION OF THE OVERTOWN GREENWAY AT NW 11TH TERRACE PROJECT.

In addition to being prequalified by FDOT to provide the required CEI services, each Proposer and their sub-consultants must execute and submit with their proposal the following FDOT certifications and forms:

- a. <u>FDOT Form #375-030-30 Truth in Negotiation Certification</u> pursuant to Section 287.055(5)(a), Florida Statutes, for any lump-sum or cost-plus-a-fixed fee professional services contract over \$195,000, FDOT requires that all Proposers execute the Truth in Negotiation Certification and include it with their Proposal;
- b. FDOT Form #375-030-32 Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions for Federal Aid Contracts In accordance with 49 CFR Section 29.510, each Proposer is required to verify their eligibility as well as their sub-consultants' eligibility. Eligibility requirements can be verified from https://www.sam.gov DMS State and federally disqualified vendors link at http://www.dms.myflorida.com/business operations/state purchasing/vend or information. The attached Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion for Federal Aid Contracts shall be executed and included with their Proposal;
- c. FDOT Form #375-030-33 Certification and Disclosure of Lobbying Activities on Federal Aid Projects In accordance with 40 CFR 20.100, 23 CFR 630.112 and 2 CFR 200.450 each Proposer shall complete and submit Form #375-030-33 and Form #375-030-34 and include it with the submittal of the Technical Proposal.
- d. FDOT Form #375-030-50 Conflict of Interest/Confidentiality Certification In accordance with 23 CFR 1.33 and Section 287.057 F.S., all Proposers shall be required to adhere to the FDOT Topic No. 375-030-006 Restriction of Consultants Eligibility for Department Contracts for projects (1) on the State Highway System, (2) the National Highway System, and (3) on Federal-Aid local highways when construction and a related professional services phase are funded by the FDOT department. Accordingly, FDOT requires that all Proposers for this RFQ execute the appropriate Conflict of Interest/Confidentiality Certification and include it with their Proposal;

All of the above-listed certifications and forms are attached hereto in SECTION 9.

<u>PLEASE NOTE</u>: In addition to the above-specified certifications and forms, the Agreement with the Successful Proposer and all sub-consultant



agreements shall include all provisions found in <u>FDOT Form #375-040-84</u> Terms for Federal Aid Contracts which is attached hereto in SECTION 8.

Completed Responses must be delivered to the Office of the City Clerk, City Hall, 3500 Pan American Drive, Miami, Florida 33133 by 2:00 PM, on September 19, 2017 ("Response Submission Due Date"). Any Responses received after the above date and time or delivered to a different address or location will not be considered.

RFQ documents may be obtained on or after **August 18, 2017**, from the City of Miami, Office of Capital Improvements ("OCI") webpage at:

www.miamigov.com/MiamiCapital/

It is the sole responsibility of all firms to ensure the receipt of any addendum and it is recommended that firms periodically check the OCI webpage for updates and the issuance of addenda.

The City of Miami reserves the right to accept any Responses deemed to be in the best interest of the City, to waive any minor irregularities, omissions, and/or technicalities in any Responses, or to reject any or all Responses and to re-advertise for new Responses, in accordance with the applicable sections of the City Charter and Code.

THIS SOLICITATION IS SUBJECT TO THE "CONE OF SILENCE" IN ACCORDANCE WITH SECTION 18-74 OF THE CITY CODE.

Daniel J. Alfonso, City Manager



SECTION 2

2.0: INTRODUCTION TO REQUEST FOR QUALIFICATIONS

2.1. Invitation

Thank you for your interest in this Request for Qualifications (RFQ). The City of Miami (the City), through its Procurement Department (Department), invites responses (Responses) which offer to provide the services described in Section 3.0: "Scope of Services." This RFQ is being issued pursuant to Section 287.055, Florida Statutes: the "Consultants' Competitive Negotiation Act." (CCNA). All references to Florida Statutes, City of Miami and Miami-Dade County Codes and other laws/regulations will be interpreted to include "as amended from time to time."

2.2. Agreement Terms and Conditions

The Proposer selected to provide the services requested herein (the "Successful Proposer") shall be required to execute a Professional Services Agreement ("Agreement") with the City in substantially the same form as the Agreement included as part of the RFQ.

2.3. Submission of Responses

Sealed written Responses must be received by the City of Miami, City Clerk's Office, no later than the date, time and at the location indicated in the Public Notice and on the cover of this RFQ in order to be responsive. Faxed documents are not acceptable. One original and seven copies plus one copy in digital form (on CD-ROM in pdf format or USB Drive), of your Response and sets of Response forms must be returned to the City, or your Response may be disgualified.

2.4. Cone of Silence

Pursuant to Section 18-74 of the City of Miami Code (Ordinance No. 12271), a "Cone of Silence" is imposed upon this RFQ.

Written communications must be in the form of a fax, mail or e-mail to Anthony Rolle, City of Miami, Procurement Department, 444 SW 2nd Avenue, 6th Floor, Miami, FL 33130, Fax 305-400-5021, e-mail <u>arolle@miamigov.com</u> with a copy to the Office of the City Clerk at <u>clerks@miamigov.com</u>.

Proposers are hereby cautioned not to contact any member of the Evaluation Committee or any staff (except as provided herein) regarding this RFQ until such time as the Cone of Silence is lifted. Failure to abide by this condition of the RFQ shall be cause for rejection of your Proposal.

Please review City of Miami Ordinance No. 12271, and City Code Section 18-74 for complete details of the Cone of Silence.

2.5. Additional Information or Clarification

Requests for additional information or clarifications must be made in writing. Proposers may fax or e-mail their requests for additional information or clarifications in accordance with Article 2.4 Cone of Silence. Facsimiles must have a cover sheet that includes the Proposer's name, the RFQ number and title, the specific project title (if applicable) and the number of pages transmitted. Any request for additional information or clarification must be received in writing **no later than 5:00 PM on September 1, 2017**.



The City will issue responses to inquiries and any other corrections or amendments it deems necessary in written addenda prior to the Response Submission Due Date. Proposers shall not rely on any representations, statements or explanations other than those made in this RFQ or in any written addendum to this RFQ. Where there appears to be conflict between the RFQ and any addenda issued, the last addendum issued shall prevail.

It is the Proposer's responsibility to assure submission and receipt of all addenda. Prior to submitting the Response, the Proposer should check the City of Miami, OCI webpage, where all addenda will be posted. The webpage is located at:

www.miamigov.com/MiamiCapital/

2.6 Award of an Agreement

A City furnished Agreement may, or may not, be awarded to the Successful Proposer for this RFQ by the City Commission or City Manager, as applicable, based upon the qualification requirements reflected herein. The City reserves the right to award or not award, or execute, as applicable, an Agreement with the Successful Proposer when it is determined to be in the City's best interests. By submitting a proposal, a Proposer agrees that it has no expectation, entitlement or interest in obtaining an Agreement with the City or any work pursuant to the Agreement. Proposer shall have no recourse against the City from the operation of this Section. The award and execution of the Agreement shall comply with the Consultants' Competitive Negotiation Act, Florida Statute §287.055.

2.7 Agreement Execution

By submitting a Response, Proposers agree to be bound to and execute the Agreement for Construction Engineering and Inspection Services for the Overtown Greenway at NW 11th Terrace construction project. Without diminishing the foregoing, Proposers may request clarification and submit comments concerning the Agreement for City's consideration. Only clarification requests and comments and proposed revisions included within the Proposer's Response will be considered by the City. Any comments identified after the Response has been received need not be considered by the City. Furthermore, any requests to negotiate provisions of the Agreement not identified in the Response after the Response has been received may be grounds for dismissal. None of the foregoing shall preclude the City from seeking to negotiate changes to the Agreement during the negotiation process.

The City shall require the Successful Proposer to provide, for itself, as well as the Sub-consultant(s) any or all of the following documentation to support the Price Proposal (if applicable) as a condition precedent to execution of an Agreement.

- Current financial statement(s), preferably an audited financial statement(s) for the most recently completed fiscal year clearly showing the costs (not percentage) of direct labor, indirect labor, fringe benefits, general administrative costs and overhead and a statement of profit or operating margin requested.
- Raw labor rates by labor or professional classification certified as accurate by an officer of the company.
- Breakdown of the fee by task/labor classification and raw or billable hourly rate/number of hours.



- Updated information reflecting information resulting from negotiation of the Agreement.
- Copy of current Notice of Qualification letter from the Florida Department of Transportation.

<u>Such documentation shall be requested, if applicable, during negotiations and shall not be submitted with the Proposal.</u>

The forms for submission of certain portions of the information described above are available on the OCI webpage at:

www.miamigov.com/MiamiCapital/forms.html

Where the City does not provide specific forms to be utilized, the Proposer shall provide the information in a format acceptable to the City.

2.8 Unauthorized Work

The Successful Proposer shall not begin work until the City issues a Notice to Proceed. Such Notice to Proceed shall constitute the City's authorization to begin work and is an express condition precedent to the Proposer being authorized to commence the Work. Any unauthorized work performed by the Successful Proposer shall be deemed non-compensable by the City and Proposer will not have any recourse against the City for performing unauthorized work.

2.9. Submittal Instructions

Careful attention must be given to all requested items contained in this RFQ. Proposers are invited to submit Responses in accordance with the requirements of this RFQ. **PLEASE READ THE ENTIRE SOLICITATION BEFORE SUBMITTING A RESPONSE.** Proposers shall make the necessary entry in all blanks and forms provided for the Response.

Responses shall be submitted in a sealed envelope or package with the RFQ number and opening date clearly noted on the outside of the envelope.

2.10. Changes/Alterations

Proposer may change or withdraw a Response at any time **prior to** Response Submission Due Date. All changes or withdrawals shall be made in writing to the point of contact specified in Article 1.4, Cone of Silence. Oral/Verbal changes, modifications or withdrawals will not be recognized and will be disregarded. Written modifications will not be accepted after the Response Submission Deadline. Proposers shall not assign or otherwise transfer their Response.

2.11. Sub-consultant(s)

A Sub-consultant is an individual or firm contracted by the Proposer or Proposer's firm to assist in the performance of services required under this RFQ. A Sub-consultant shall be paid through Proposer or Proposer's firm and not paid directly by the City. Sub-consultants are allowed by the City in the performance of the services delineated within this RFQ. Proposer must clearly reflect in its Response the major Sub-consultants to be utilized in the performance of required services. The City retains the right to accept or reject any Sub-consultant proposed in the Response of Successful Proposer or proposed prior to Agreement execution. Any and all liabilities regarding the use of a Sub-consultant shall be borne solely by the Successful Proposer and insurance for each Sub-consultant must be maintained in good standing and approved by the City throughout



the duration of the Agreement. Neither the Successful Proposer nor any of its Sub-consultants are considered to be employees or agents of the City. Failure to list all Sub-consultants and provide the required information may disqualify any proposed Sub-consultants from performing work under this RFQ.

Proposers shall include in their Responses the requested Sub-consultant information, the required FDOT certifications and forms, and all relevant information required of the Proposer.

Proposers are expressly prohibited from substituting any Sub-consultants contained in the Response. Proposers shall not change any Sub-consultant without just cause <u>and</u> prior written approval by the City Manager or the Manager's designee, as applicable. If approved, the City reserves the right to request additional required documentation as specified in the RFQ. If the City does not accept the proposed change(s) the Response will be rejected and not considered for award.

2.12. Discrepancies, Errors, and Omissions

Any discrepancies, errors, or ambiguities in the RFQ or addenda (if any) should be reported in writing to the City's Procurement Department in the manner prescribed in the RFQ Section 2.5. Should it be necessary, the City will issue a written addendum to the RFQ clarifying such conflicts or ambiguities.

2.13. Disqualification

This RFQ requires the use and submission of specific City Forms. In addition, the RFQ requires the submission of additional documents and information. Failure to utilize the City Forms and submit the required documents will result in the rejection of the Response as non-responsive and it will not be considered for award.

The City reserves the right to disqualify Responses before or after the Response Submission Due Date, upon evidence of collusion with intent to defraud or other illegal practices on the part of the Proposer. It also reserves the right to waive any immaterial defect or informality in any Response; to reject any or all Responses in whole or in part, or to reissue a Request for Qualifications.

Any Response submitted by a Proposer who is in arrears, e.g., money owed or otherwise in debt by failing to deliver goods or services to the City (including any agency or department of the City) or where the City has an open claim against a Proposer for monies owed the City at the time of Proposal submission, will be rejected as non-responsive and shall not be considered for award.

Any Proposer who submits in its Response any information that is determined by the City, in its sole opinion, to be substantially inaccurate, misleading, exaggerated, or incorrect, shall be disqualified from consideration for award of the Agreement.

The City reserves the right to reject Responses submitted by limited liability corporations.

2.14. Proposer's Expenditures

Proposer(s) understand and agree that any expenditure they make in preparation and submittal of Responses or in the performance of any services requested by the City in connection with the Responses to this RFQ are exclusively at the expense of the Proposer. The City shall not pay or reimburse any expenditure or any other expense incurred by any Proposer in preparation of a Response and/or anticipation of a contract award and/or to maintain the approved status of the



Successful Proposer if an Agreement is awarded, and/or administrative or judicial proceedings resulting from the solicitation process.



SECTION 3

3.0. RFQ SCOPE OF SERVICES

3.1. Purpose

The City is seeking to procure firms to provide professional services to the City for Construction Engineering and Inspection (CEI) Services for the Overtown Greenway at NW 11th Terrace project. The Proposer and its Sub-consultants must be able to perform every element of the scope of services as stipulated below and found in Attachment A – Scope of Work of the proposed Agreement.

3.2. Scope of Services

The Consultant shall provide CEI Services for the Overtown Greenway at NW 11th Terrace project in accordance with all applicable law, building, electrical, life/ safety, and environmental laws, codes and regulations, including the Florida Building Code, Florida Department of Transportation Construction Project Administration Manual, and the City of Miami, Florida City Code, and as set forth herein and in Attachment A – Scope of Work of the proposed Agreement and further enumerated in a Work Order.

Consultant shall provide said services in accordance with Section §287.055, Florida Statutes, Consultants' Competitive Negotiations Act (CCNA), as amended.

The Successful Proposer will provide engineering and other technical personnel to the City to provide CEI Services for the administration of the aforementioned construction project as directed by OCI.

The following specific Scope of Services will typically be included as part of the work to be performed on a project. The Successful Proposer will be required to provide all necessary vehicles, equipment (including field testing equipment), and personnel to perform the services required under the Agreement. Proof of licenses will be required for testing equipment and the personnel operating the testing equipment. Proof of licenses will need to be furnished to the City upon request. Services are to include roadway inspection, monitoring and reporting of drainage installation, roadway reconstruction, sidewalk widening, signalization adjustments, landscaping, and utility coordination, among other things:

- Perform CEI services in accordance with all applicable laws and building regulations, including, but not limited to, the City of Miami Public Works Specifications and Design Standards, Miami-Dade County standards, and Division II and III of the FDOT Standard Specifications for Road and Bridge Construction dated 2013 (including all supplemental specifications current at the time of bidding), and all other requirements set forth in this Agreement.
- Coordinate the activities of all parties involved in completing the project. This includes, but is not limited to:
 - Construction Engineering and Inspection Duties
 - o Coordination, Contractor Monitoring, Problem Resolution



- Contract Management
- o Providing verification of Quality Control tests
- Coordination and Issuance of Change Order Requests
- Checking for errors and omission, and interpreting plans, specifications, and contractual requirements
- Assisting in claims and dispute resolution
- Public information services
- Managing pre-construction and construction progress meetings, and preparing and disseminating meeting minutes.
- If applicable, the CEI firm will be responsible for the monitoring and reporting for compliance
 of Miami-Dade County contract measure recommendation(s) established by the Department
 of Business Development Project Worksheet for the participation of specified business entities
 and/or trades, and for Community Workforce Program (CWP) requirements, as administered
 by the County's Department of Small Business Development (SBD). This includes, but is not
 limited to educating the contractor and ensuring contractor compliance with the City of Miami
 and/or Miami-Dade County contract requirements.
- Sampling, Inspections and Testing
 - Levels of testing will be as defined in the project specifications and in accordance with the American Society for Testing and Materials, Underwriter Laboratories and/or other applicable industry standards.
- Stored material/equipment review
- Project photo and video documentation
- Record Drawing review
- Maintain progressive list of items requiring correction
- Notification of accident, damage, or injury
- Review and response of resident complaints
- Coordination, review, and response of RFIs, field directives, and change proposal requests
- Change order management
- Pay application review
- Substantial completion inspection
- Final completion and inspection
- Project close-out

Further details concerning the Scope of Services are contained in the draft Agreement included as part of this RFQ.

3.3. Position Descriptions

CEI services for this project will require at least one Senior Project Administrator, one Senior Civil Construction Inspector, Civil Construction Inspector and a CEI Contract Compliance Specialist. Position descriptions are as follows:

1. <u>CEI Senior Project Administrator/Project Engineer (FDOT Construction Training</u> Qualification Program (CTQP):



For the CEI Project Engineer Title: A Civil Engineering degree with five (5) years of experience in construction of major road or bridge structures, two (2) years of which involved construction of major road or bridges with the exception of Complex Category 2 (CC2) bridge structures.

For the CEI Senior Project Administrator Title: ten (10) years of experience in construction of major road or bridge structures, two (2) years of which involved construction of major road or bridges with the exception of Complex Category 2 (CC2) bridge structures.

To be in primary control, the Senior Project Administrator/Project Engineer must have supervised two or more Senior Civil Construction Inspectors <u>and</u> two or more support staff (e.g., Office Manager, Compliance Officer, and Secretary) and must have been directly responsible for all CEI services assigned.

Receives general instructions regarding assignments from the City and is expected to exercise initiative and independent judgment in the solution of work problems. Directs and assigns specific tasks to inspectors and assists in all phases of the construction project. Will be responsible for the progress and final estimates throughout the construction project duration.

Must have the following as required by the scope of work of the project:

Qualifications (Mandatory):

- FDOT Advanced Maintenance of Traffic (MOT)
- CTQP Final Estimates Level I & II
- Quality Control (QC) Manager
- Advanced American Traffic Safety Services Association (ATSSA) MOT Certification
- Either a Civil Engineering Degree or at least 10 years of construction and contract management experience

Other:

- Attend CTQP Quality Control Manager Course and pass the examination.
- A Master's Degree in Engineering may be substituted for one year of engineering experience.
- PE License preferred.

2. CEI Senior Civil Construction Inspector (FDOT CTQP):

High school graduate or equivalent plus five years of experience in construction inspection. Previous responsibilities must include performing highly complex technical assignments in field surveying and construction layout, checking shop drawings, checking engineering computations, inspecting construction work, conducting field tests, and finalizing punch-lists. Senior Inspectors must be able to coordinate and manage the lower level inspectors. Work is performed under the general supervision of the Senior Project Administrator / Engineer.

Must have the following as required by the scope of work of the project:

Qualifications:

CTQP Concrete Field Inspector Level I



- American Concrete Institute (ACI)/Concrete Technician Level I & II
- CTQP Asphalt Roadway Level I
- CTQP Asphalt Roadway Level II
- CTQP Earthwork Construction Inspection Level I
- CTQP Earthwork Construction Inspection Level II
- CTQP Drilled Shaft Inspection (If applicable)
- CTQP Grouting Technician Level I (If applicable)
- FDOT Intermediate MOT
- FDEP Environmental Technician
- CTQP Final Estimates Level I

Certifications:

- Nuclear Radiation Safety
- Advanced ATSSA MOT
- Troxler/Hazmat

3. <u>CEI Civil Construction Inspector (FDOT CTQP):</u>

High school graduate or equivalent plus four (4) years of experience in construction inspection. Previous responsibilities must include inspecting construction work, conducting field tests, and finalizing punch-lists.

Must have the following as required by the scope of work of the project:

Qualifications:

- CTQP Concrete Field Inspector Level I
- CTQP Asphalt Roadway Level I
- Asphalt Paving Level I
- CTQP Earthwork Construction Inspection Level I
- FDOT Intermediate MOT
- Intermediate ATSSA MOT
- Earthwork Construction Level I
- ACI/Concrete Field Tech

Certifications:

- Nuclear Radiation Safety
- Troxler/Hazmat

4. CEI Contract Compliance Specialist

Graduation from an accredited high school or equivalent with one (1) year of experience as a compliance officer on a construction project or two (2) years of assisting the compliance officer in monitoring the project. Must be able to provide Construction Contract administration and compliance reporting. Must have prior experience compliance monitoring of Local, State and Federal Aid funded construction projects. Must have particular knowledge of the City of Miami's



Procurement Code, particularly the City of Miami's Procurement Code: Section 18-89, Contracts for Public Works or Improvements. Must have knowledge of the United States Department of Labor Wage and Hour Division, including specific knowledge of provisions of the Davis-Bacon Act and the Fair Labor Standards Act. Must be knowledgeable of EEO/AA laws and must have the ability to analyze, collect, evaluate data, and take appropriate action when necessary. Must attend all training workshops or meetings for Compliance Specialists as determined necessary.



SECTION 4

4.0: RFQ GENERAL CONDITIONS

4.1 Acceptance/Rejection

The City reserves the right to accept or reject any or all Responses or to select the Proposer(s) that, in the opinion of the City, is/are in its best interest(s). The City also reserves the right to reject any Proposer(s) who has previously failed to properly perform under the terms and conditions of a contract, to deliver on time any contracts with the City, and who is not in a position to perform the requirements defined in this RFQ. Further, the City may waive informalities, technicalities, minor irregularities, and/or request new Responses for the services specified in this RFQ and may, at its discretion, withdraw and/or re-advertise the RFQ.

4.2 Legal Requirements

This RFQ is subject to all applicable federal, state, county, city and local laws, codes, ordinances, rules and regulations that in any manner affect any and all of the services covered herein. Lack of knowledge by the Proposer shall in no way be cause for relief from responsibility.

4.3 Non-Appropriation of Funds

In the event that insufficient funds are appropriated and budgeting or funding is otherwise unavailable or not allocated in any fiscal period for this Project, then the City, shall have the unqualified right to terminate the Work Order(s), and/or the Agreement upon written notice to the Consultant, without any penalty or expense to the City. No guarantee, promise, warranty or representation is made that any particular work or any project(s) will be assigned to any Consultant firm(s).

4.4 Business Tax Receipt Requirement

Respondents shall meet the City's Business Tax Receipt (BTR) requirements in accordance with Chapter 31, Article II of the City of Miami Code, as amended and any applicable Miami-Dade County BTR requirement. Respondent(s) with a business location outside the City of Miami shall meet the applicable local BTR requirements. A copy of the license should be submitted with the Response. The City may allow the Respondent to submit a copy of their BTR within five (5) business days after the Response Submission Due Date.

For detailed instructions regarding how to obtain a BTR please visit the link below:

http://www.miamidade.gov/taxcollector/local-business-tax.asp

4.5 Minimum Qualification Requirements

The City of Miami is seeking to procure a qualified construction engineering and inspection firm with experience in completing comprehensive construction engineering and inspection projects for governmental and/or institutional clients, as stipulated in Section 287.055, Florida Statutes (CCNA). Firms responding to this RFQ must have been prequalified by FDOT to provide the required CEI services and must have been licensed, registered and practicing as an engineering firm for at least the last five (5) years under its current business name. The firm must have at least five (5) construction engineering and inspection projects within the last five (5) years. The referenced projects may be current or may have been completed within the past five (5) years. These reference projects shall be current or must have been completed for governmental or institutional clients and should include examples of CEI projects inclusive of projects located in



public rights-of-way. Respondents must have a proven track record of successfully completing projects. Failure to meet the above-stated requirements will result in the Respondent's response being rejected as non-responsive.

Respondents must utilize Form RFQ-PP-R for the above-required reference projects and the forms must be signed by the Owner of the project. Failure to submit the reference forms may result in the Response being deemed non-responsive.

In addition, Respondents must have at least one staff or team member who has been licensed and practicing as an engineer for at least three (3) years and who shall serve as Project Manager for this Project.

Each firm interested in responding to this RFQ must provide information on the firm's qualifications and experience; qualifications of the Project team, members and staff; Project Manager's experience; and previously completed projects. See Section 5.0 "Instructions for Submitting a Response: Submission Requirements" for further direction. Responses that do not completely adhere to all requirements may be considered non-responsive and eliminated from the process. Additional minimum qualifications may be stated in Section 5.0, "Instructions for Submitting a Response."

The City may consider a Response as responsive where a Respondent has less than the stipulated minimum number of years of experience solely where the Respondent has undergone a name change and such change-of-name has been filed, or where the Respondent was a subsidiary of a larger firm and the Respondent's firm has been merged into the larger firm. Respondent must include documentation substantiating such name change or merger as part of its Response for the City to consider crediting the years of experience from the Respondent under its previous name.

Failure to include such documentation with the Response may result in a determination that the submission is non-responsive.

4.6 PUBLIC ENTITY CRIMES

A person or affiliate who has been placed on the convicted Respondent/Bidder list following a conviction for a public entity crime may not submit a Response on a contract to provide any goods or services to a public entity, may not submit a Response on a contract with a public entity for the construction or repair of a public building or public works project, may not submit a Response on a lease of real property to a public entity, may not be awarded or perform work as a contractor, supplier, sub-consultant, or consultant under a contract with any public entity, and may not transact business with any public entity in excess of the threshold amount provided in §287.017, Florida Statutes, as amended, for Category Two for a period of 36 months from the date of being placed on the convicted Bidder/Respondent list. §287.133, Florida Statutes, as amended.

4.7 RESOLUTION OF PROTESTS

Any actual or prospective contractual party who feels aggrieved in connection with the solicitation or award of a contract may protest in writing to the Chief Procurement Officer, in accordance with the procedures contained in Section 18-104, Resolution of Protested Solicitations and Awards, as amended, of the City of Miami Code, Ordinance No. 12271 (the City of Miami Procurement Code) describing the protest procedures. Protests failing to meet the requirements for filing shall



NOT be accepted. Failure of a party to timely file shall constitute a forfeiture of such party's right to file a protest. **NO EXCEPTIONS TO THESE REQUIREMENTS**.

4.8 REVIEW OF RESPONSES FOR RESPONSIVENESS

Each Response will be reviewed to determine if it is responsive to the submission requirements outlined in the RFQ. A "responsive" Response is one which meets the requirements of the RFQ, is submitted in the format outlined in Section 5.1 – Section B "Response Submission Format" of this RFQ, is of timely submission, and has appropriate signatures/attachments as required on each document.

4.9 COLLUSION

The Respondent, by submitting a Response, certifies that its Response is made without previous understanding, agreement or connection either with any person, firm, or corporation submitting a Response for the same services, or with any City department. The Respondent certifies that its Response is fair, without control, collusion, fraud, or other illegal action. The Respondent further certifies that it is in compliance with the conflict of interest and code of ethics laws. The City will investigate all situations where collusion may have occurred and the City reserves the right to reject any and all Responses where collusion may have occurred.

4.10 CLARIFICATIONS

The City reserves the right to request clarifications of information submitted and to request any necessary supporting documentation or information of one or more Respondents after the deadline for submission of Responses.

4.11 KEY PERSONNEL

Subsequent to submission of a Response and prior to award of an Agreement, Key Personnel shall not be changed. Respondents shall not change any member of their Key Personnel without just cause <u>and</u> must obtain prior written approval by the City. The City reserves the right to request additional documentation as required by the RFQ. If the City does not accept the proposed change(s) the Response will be rejected and not considered for award.

4.12 ADDITIONAL TERMS AND CONDITIONS

No additional terms and conditions included as part of your solicitation response shall be evaluated or considered, and any and all such additional terms and conditions shall have no force or effect and are inapplicable to this solicitation. If submitted either purposely, through intent or design, or inadvertently, appearing separately in transmittal letters, specifications, literature, price lists or warranties, it is understood and agreed that the General Conditions and Special Conditions in this solicitation are the only conditions applicable to this solicitation and that the bidder's/respondent's authorized signature affixed to the bidder's/respondent's acknowledgment form attests to this Professional Services Agreement (PSA) is provided by the City and is expressly included as part of this solicitation, no additional terms or conditions which materially or substantially vary, modify or alter the terms or conditions of the PSA or Agreement, in the sole opinion and reasonable discretion of the City will be considered. Any and all such additional terms and conditions shall have no force or effect and are inapplicable to this PSA or Agreement.



SECTION 5

5.0: INSTRUCTIONS FOR SUBMITTING A RESPONSE

Submit the following information and documents with Proposer's Response to this RFQ. Failure to do so may cause your Response to be deemed non-responsive. Non-responsive submittals will receive no further consideration.

5.1 Submission Requirements

Each Response must contain the following documents and forms required by Sections 5.1 A-E, each fully completed, and signed as required. Proposers shall prepare their Responses utilizing the same format outlined below in Section 5.1B. Each section of the Response as stipulated in 5.1B shall be separated by a tabbed divider identifying the corresponding section number. Proposers are not to submit any information in response to this RFQ that has not been requested or which the Proposer considers confidential. Submission of any confidential information will be deemed a waiver of any confidentiality or other such protection, which would otherwise be available to the Proposer, except as specifically permitted under Florida Statute. Proposers are not to include any documents not specifically required or requested, including, but not limited to; media and public relations literature, annual reports, pictures, etc. Such documentation will not be considered and will be redacted from the copies provided to the Evaluation Committee. The submission of such documentation may adversely affect the evaluation of the Response by the Evaluation Committee.

Hard cover binders shall not be used in the submission of the Response. Only heavy stock paper, not exceeding 100#, is to be used for the front and back covers as well as the required section dividers. Proposers should also make every effort to utilize recycled paper in preparing its proposal. Double sided printing is permitted provided that the Response complies with the format set forth in 5.1B.

Do not include additional information not requested in this RFQ unless specified in the form of an Addendum. This RFQ requires the use and submission of specific City Forms. The City forms shall not be expanded or altered. Additional pages may not be added unless the form specifically states that pages can be added. Failure to utilize the City Forms will result in the rejection of the Response as non-responsive.

A. Content of Qualifications Statement:

All Forms referenced in Sections A-E are identified in Section 4.1B.

Section A

1. <u>Table of Contents</u>

The table of contents should outline in sequential order the major areas of the submittal, including enclosures. All pages of the Response must be consecutively numbered and correspond to the Table of Contents.



2. <u>Proposal Letter</u>

Proposer shall complete and submit Form RFQ-PL for this section of the Response. (1 page maximum)

3. Narrative

Proposer shall complete and submit Form RFQ-N for this section of its Response. Provide a brief overview of the Proposer's firm and why the Proposer should be selected for this Project. (1 page maximum)

4. Qualifications of the Proposer

Proposer shall complete and submit Form RFQ-QP for this section of its Response. (1 form - 3 pages maximum)

5. Qualifications of the Proposer's Team

Firm shall complete and submit Form RFQ-QT for this section of its Response. Form RFQ-WC is to be completed for each of the Key Personnel identified in Form RFQ-QT. An organizational chart of the Project Team shall be provided along with a one page resume for key personnel.

6. Team Organizational Chart

An organizational chart of the Proposer's team shall be provided for key personnel.

7. <u>Proposer's Project Experience:</u>

Proposer shall complete and submit Form RFQ-PP-(CEI) for this section of its Response. Provide a comprehensive summary of the Proposer's CEI experience. The firm MUST have a minimum of five years of experience and have served as the lead on similar projects on a minimum of five previous occasions. A list of projects of similar size, scope and complexity must be submitted. Information should include; client's name, address, phone number, description of work, and the year the project was completed. Failure to meet the five (5) year minimum requirement will in and of itself result in the proposal being deemed non-responsive.

For each RFQ-PP-(CEI) Form, the Proposer must submit a RFQ-PP-R-(CEI) Form for the Proposer's Project Experience for this section of its Response.

Section B

1. CEI Philosophy, Methodology and Process

Proposer shall complete and submit Form RFQ-CEI-PMP for this section of its Response. Proposer shall include a brief explanation of its CEI philosophy, methodology & process as it relates to this Project. This should include an understanding of the Scope of Services, clearly defined issues commonly encountered and methodology for resolution of these project issues, and the process and approach to meeting the requirements of the Scope of Services. (2 page maximum)



2. <u>Technical Approach</u>

Proposer shall complete and submit Form RFQ-T for this section of its Response. (2 page maximum)

Provide a comprehensive explanation of the firm's approach to:

- Manpower planning, including scheduling and allocation of resources
- > Ensuring timely completion of projects
- Quality control and assurance procedures, including timely reporting, and reviewing pay applications and change orders
- Computer aided design and drafting capabilities
- > Capacity to provide on-call services in a timely manner
- Quality control and assurance, including coordination between design disciplines, compliance with program requirements professional/industry standards, and conformance with all applicable code requirements (2 page maximum)

Section C

1. Qualifications of Senior Project Administrator/Project Engineer

Proposer shall complete and submit Form(s) RFQ-PA-(CEI) for the Senior Project Administrator/Project Engineer for this section of its Response.

For each RFQ-PA-(CEI) Form, the Proposer must submit a RFQ-PA-R-(CEI) Form for the Senior Project Administrator/Engineer for this section of its Response. Include the Senior Project Administrator/Engineer's Resume.

2. Qualifications of Senior Civil Construction Inspector

Proposer shall complete and submit Form(s) RFQ-CCI-(CEI) for the Senior Civil Construction Inspector for this section of its Response.

For each RFQ-CCI-(CEI) Form, the Proposer must submit a RFQ-CCI-R-(CEI) Form for the Senior Civil Construction Inspector for this section of its Response. Include the Senior Civil Construction Inspector's Resume.

3. Qualifications of Civil Construction Inspector

Proposer shall complete and submit Form(s) RFQ-CCI-(CEI) for the Civil Construction Inspector for this section of its Response.

For each RFQ-CCI-(CEI) Form, the Proposer must submit a RFQ-CCI-R-(CEI) Form for the Civil Construction Inspector for this section of its Response. Include the Civil Construction Inspector's Resume.



4. Qualifications of the Contract Compliance Specialist

Proposer shall complete and submit Form(s) RFQ-CCS-(CEI) for the Contract Compliance Specialist for this section of its Response.

For each RFQ-CCS-(CEI) Form, the Proposer must submit a RFQ-CCS-R-(CEI) Form for the Contract Compliance Specialist for this section of its Response. Include the Contract Compliance Specialist's Resume.

5. **Proposer's Workload Capacity Form**

Please complete Form RFQ-WC - Workload Capacity for the Proposer's current workload.

Section D

1. Professional Service Agreement ("PSA") Provisions (Exhibit "A")

Provide comments on, and exceptions to the attached Agreement terms and conditions. Proposed changes to the Agreement must be returned to the City in Microsoft Word format with comments reflected by "red-lining" the original document utilizing the tracking feature. The Microsoft Word document must be included in the proposal in both printed format and electronically on a CD-ROM or USB Drive. The City will only consider the identified comments and exceptions during negotiations. Where a proposal is returned without comments it will be deemed that the Proposer has no comments or exceptions to the draft Agreement. If the Proposer has no comments, a statement to that effect shall be included in the proposal in this section. As noted certain sections of the Professional Services Agreement ("PSA"), including, without limitation, Hold Harmless/Indemnity, Insurance, Cancellation for Convenience, Funding Out, Ethics, Public Records, Sunshine, Lobbying and Compliance with Laws Sections are long standing City practices and cannot be modified.

2. Acknowledgment of Addenda

Sign and return copies of each addendum signature page.

3. RFQ Response Forms (Section 8.0)

Sign and return each RFQ Response Form for the Proposer.

Section E

- **1.** Letter of Agreement(s) (LOA)
- Form C-1 List of Sub-consultants*
- Certificate of Compliance
- 4. Copies of CBE certification for Prime (if applicable)
- **5**. Notice of Qualifications (FDOT)

*NOTE: Sub-consultants Information form C-1



Proposer shall list all proposed sub-consultants to be used, regardless of racial or gender grouping, to include names, addresses, phone numbers, type of work (service or commodity).

B. Response Submission Format:

Responses are to be prepared and submitted in the format below. Failure to comply with this format may result in the Response being determined non-responsive.

Section A

- 1. Table of Contents
- 2. RFQ-PL Proposal Letter
- 3. RFQ-N Proposal Narrative
- 4. RFQ-QP Qualifications of Proposer
- 5. RFQ-QT Qualifications of Proposer's Team Including Forms RFQ-WC
- 6. Team Organizational Chart
- 7. RFQ-PP-(CEI) Proposer's Project Experience
- 8. RFQ-PP-R-(CEI) Proposer's Reference Forms

Section B

- 1. RFQ-CEI-PMP CEI Philosophy, Methodology & Process
- 2. RFQ-T Technical Approach

Section C

For all positions proposed, please submit the supporting form below:

- RFQ-PA-(CEI) Qualifications of Senior Project Administrator/Project Engineer
- 2. RFQ-PA-R-(CEI) Senior Project Administrator/Project Engineer Reference Forms
- 3. Resume of Senior Project Administrator/Project Engineer
- RFQ-CCI-(CEI) Qualifications of Senior Civil Construction Inspector*
- 5. RFQ-CCI-R-(CEI) Senior Civil Construction Inspector Reference Form*
- 6. Resume of Senior Civil Construction Inspector
- 7. RFQ-CCI-(CEI) Qualifications of Civil Construction Inspector*
- 8. RFQ-CCI-R-(CEI) Civil Construction Inspector Reference Form*
- 9. Resume of Civil Construction Inspector
- 10. RFQ-CCS-(CEI) Qualifications of Contract Compliance Specialist
- 11. RFQ-CCS-R-(CEI) Contract Compliance Specialist Reference Form
- 12. Resume of Contract Compliance Specialist
- 13. Form RFQ-WC Workload Capacity for the Proposer's current workload.
 - * Note Forms RFQ-CCI-(CEI) and RFQ-CCI-R-(CEI) should be utilized for all Civil Construction Inspection personnel, including senior roles.

Section D

- 1. Professional Service Agreement (PSA) Provisions (Exhibit A)
- 2. Acknowledgment of Addenda
- 3. RFQ Response Forms (Section 8.0)

Section E

1. Letter of Agreement (LOA) 1 pg for each Sub-consultant firm



- 2. Form C-1 List of Sub-consultants
- 3. Certificate of Compliance
- 4. Copies of CBE certification for Prime (if applicable)

5.2. Response Submission

One original and seven bound copies, plus one digital copy (in .pdf file format) or USB **Drive**, of your complete response to this RFQ must be delivered to:

Mr. Todd Hannon, City Clerk City of Miami Office of the City Clerk 3500 Pan American Drive First Floor Miami, Florida 33133

Responses must be clearly marked on the outside of the package referencing

RFQ NO: 16-17-034

CONSTRUCTION ENGINEERING AND INSPECTION SERVICES FOR OVERTOWN GREENWAY AT NW 11TH TERRACE PROJECT NO.: B-30624

Responses received at any other location than the aforementioned or after the Response Submission Due Date and time shall be deemed non-responsive and shall not be considered.

Responses should be signed by an official authorized to bind the Proposer to the provisions given in the Responses. Responses are to remain valid **for at least 180 days.** Upon award of an Agreement, the contents of the Responses of the Successful Proposer(s) may be included as part of the Agreement, at the City's discretion. Additional information on submission requirements can be found in Section 5.1.

SUBMITTAL GUIDELINES

1. General

Only one (1) Response from an individual, firm, partnership or corporation will be considered in response to this RFQ. Sub-consultants, business entity, or Sub-Contractors may be included in more than one Response submitted by more than one Proposer. A firm, partnership or corporation that submits a Response may not be a Sub-Consultant on another Response submitted under this RFQ.

Proposer must clearly reflect in its Response any Sub-Consultants proposed to be used, and provide for the Sub-Consultant the same information required of the Proposer. The City retains the right to accept or reject any proposed Sub-Consultants.



Throughout this RFQ, the phrases "must" and "shall" will denote mandatory requirements. Any Response that does not meet the mandatory requirements is subject to immediate disqualification.

It is the policy of the City that the Successful Proposer register as a Bidder/Vendor indicating the commodities/services which the Proposer can regularly supply to the City. Should the Successful Proposer not be currently listed on the City's Proposer/bidder's list, they may register via the internet at: http://egov.ci.miami.fl.us/bids/bids.asp. For any questions, contact the Procurement Department at (305) 416-1922. Proposers may be registered as a Bidder/Vendor prior to submitting its Response. It is the sole responsibility of the Proposer(s) to insure that they are properly registered with the City.



SECTION 6

6.0 EVALUATION/SELECTION PROCESS

A. Evaluation Procedures

The procedure for response evaluation and selection is as follows:

- Request for Qualifications issued.
- 2. Receipt of Responses.
- Opening and listing of all Responses received.
- 4. Preliminary review by City's Procurement staff for compliance with the submission requirements of the RFQ, including verification that each Response includes all documents required.
- 5. Review by professional staff and/or an Evaluation Committee (Committee) certifying that the Proposer is qualified to render the required services according to State regulations.
- 6. The Committee, appointed by the City Manager, shall meet to evaluate each responsive Response in accordance with the requirements of this RFQ. The Committee will select a minimum of three firms deemed the most highly qualified to perform the required services, unless fewer than three Responses are received. At the Committee's option, they may decide to hold brief presentations and interview sessions with all Proposers or Shortlisted firms.
- 7. The Committee forwards its recommendation to the City Manager, listing the Proposers in rank order.
- 8. After reviewing the Committee's recommendation, the City Manager may:
 - a) approve the recommendation of the Committee, written notice of which shall be provided to all proposers, and the City Manager shall then submit his or her recommendation to the City Commission;
 - b) reject the Committee's recommendation and instruct the Committee to reevaluate and make further recommendations;
 - c) reject all Responses; or
 - d) recommend that the City Commission reject all Responses.

City Manager may accept the recommendation and authorize Procurement to enter into negotiations with the top ranked Proposer, request that the Committee provide additional information as to the ranking of the Responses. Upon approval of the Committee recommendation the Proposers will be listed in rank order on the OCI webpage,

http://www.miamigov.com/MiamiCapital/

9. Upon successful negotiation of an Agreement, Procurement will forward the recommended Agreement to the City Manager for approval and the City Manager,



upon acceptance of the negotiated Agreement, will approve the award or recommend that the City Commission, when required by the City's Procurement Code, approve the recommendation of the Committee and the award of the Agreement. Where Procurement is not able to successfully negotiate an Agreement with the top ranked Proposer Procurement will recommend to the City Manager that such negotiations be terminated and that Procurement enter into negotiations with the next ranked Proposer(s) until an Agreement is negotiated or all Responses are rejected.

10. After reviewing the City Manager's recommendation, the City Commission may: approve the City Manager's recommendation and authorize award of the Agreement; reject the Agreement; or reject all Responses and direct the City Manager re-open negotiations or to solicit new Responses.

B. Evaluation and Selection Schedule

The chart below reflects the projected evaluation and selection schedule for this RFQ. Dates reflected in this chart may be altered if in the best interest of the City.

Projected Evaluation and Selection Schedule			
1.	RFQ Released to the Public	August 18, 2017	
2.	Last Day to Submit RFIs to the City	September 1, 2017	
3.	Proposals Submitted to the City	September 19, 2017	
4.	Evaluation Committee Meeting	October 25, 2017	
5.	Oral Presentation, if applicable	November 3, 2017	
6.	Report of the Evaluation Committee/Final Ranking	November 8, 2017	
7.	Negotiation Meeting	November 16, 2017	
8.	Agreement Awarded by City Manager	November 27, 2017	
9.	Award Approved by City Commission, if applicable	December 14, 2017	

C. Evaluation Criteria

Responses shall be evaluated according to the following criteria and respective weight:

	Proposer's Experience and Qualifications	Maximum 35 points
>	Proposer's Team Experience	Maximum 35 points
>	Experience of Senior Project Administrator/Engineer	Maximum 10 points
>	CEI Philosophy, Methodology and Process	Maximum 10 points
\triangleright	Technical Approach	Maximum 10 points



SECTION 7

7.0 REPORTING AND PERFORMANCE EVALUATION

7.1 DISADVANTAGE BUSINESS ENTERPRISE (DBE) REPORTING

The Successful Proposer shall complete the Anticipated DBE Participation Statement through the Equal Opportunity Compliance system within three business days after the pre-construction or pre-work conference for all federal and state funded projects. It will assist FDOT in tracking and reporting planned or estimated DBE utilization. The City and FDOT currently have a race neutral program with a 9.91% goal as certified under the Florida Unified Certification Program. During the term of the Agreement, the Successful Proposer shall be required to report payments to DBE and MBE Sub-consultants through the web-based Equal Opportunity Compliance (EOC) system.

All DBE payment must be reported whether or not you initially planned to utilize the firm. All questions regarding DBE reporting should be directed to EOOHelp@dot.state.fl.us.

7.2 BID OPPORTUNITY LIST REPORTING

The Federal DBE Program requires States to maintain a database of all firms that are participating or attempting to participate on FDOT-assisted contracts. The list must include all firms that bid on prime contracts, or bid or quote sub-consultants on FDOT-assisted projects, including DBE's and non-DBEs.

Proposers shall complete the Bidders Opportunity List through the Equal Opportunity Compliance system within three business days of submission of the proposal for ALL Subconsultants who quote to you for specific services for this solicitation. The web address to the Equal Opportunity Compliance system is listed below:

https://fdotwp1.dot.state.fl.us/EqualOpportunityCompliance/Account.aspx/LogIn?ReturnUrl=%2f EqualOpportunityCompliance

7.3 PERFORMANCE EVALUATION

The City shall conduct Performance Evaluations during and after completion of the Agreement with the Successful Proposer. Performance Evaluations shall be used as a basis for the award of future work, as well as advising the Successful Proposer of their performance.



SECTION 8

8.0 RFQ RESPONSE FORMS

8.1. RFQ INFORMATION FORM

RFQ No. : 16-17-034 <u>Construction Engineering & Inspection Services for Overtown</u> <u>Greenway at NW 11th Terrace Project, B-30624</u>

I certify that any and all information contained in this RFQ is true. I certify that this RFQ is made without prior understanding, agreement, or connections with any corporation, firm or person submitting a RFQ for the same materials, supplies, equipment, or services and is in all respects fair and without collusion or fraud. I agree to abide by all terms and conditions of the RFQ, and certify that I am authorized to sign for the Proposer's firm. Please print the following and sign your name:

Firm's Name
Principal Business Address
Telephone
Fax
E-mail address
Name
Title
Authorized Signature



8.2.1 CERTIFICATE OF AUTHORITY

(IF CORPORATION OR LLC)

STATE OF)	
) SS:	
COUNTY OF)	
I HEREBY CERTIFY that a	meeting of the Board of Directors o	f
		, a corporation existing under the laws o
		, 20, the following resolution was
duly passed and adopted:		
"RESOLVED, that,	, as Preside	nt of the Corporation, be and is hereby
authorized to execute the Re	esponse dated,	, 20, to the City of Miami and this
Corporation and that their e	execution thereof, attested by the	Secretary of the Corporation, and with the
Corporate Seal affixed, shall	l be the official act and deed of this	Corporation."
I further certify that said reso	plution is now in full force and effect	t.
IN WITNESS WHEREOF, I	have hereunto set my hand and af	fixed the official seal of the corporation this
, day of	, 20	
Secretary:		
(SEAL)		

FAILURE TO COMPLETE, SIGN, AND RETURN THIS FORM MAY DISQUALIFY YOUR RESPONSE



8.2.2 CERTIFICATE OF AUTHORITY

(IF PARTNERSHIP)

STATE OF)			
) SS:			
COUNTY OF)			
I HEREBY CERTIFY	that a meeting of the Partne	rs of the		
organized and exis	ing under the laws of the	State of		, held on
, 2	20, the following res	olution was duly pass	ed and adopted	d:
"RESOLVED, that, _	, as_		of the	Partnership, be and
	to execute the Response da			
and this Partnership	and that their execution there	of, attested by the		_
	shall be the official	act and deed of this F	Partnership."	
I further certify that s	aid resolution is now in full fo	rce and effect.		
IN WITNESS WHER	EOF, I have hereunto set my	hand this day	of	, 20
Secretary:		-		
(SEAL)				

FAILURE TO COMPLETE, SIGN AND RETURN THIS FORM MAY DISQUALIFY YOUR RESPONSE



8.2.3 CERTIFICATE OF AUTHORITY

(IF INDIVIDUAL)

STATE OF)
) SS:
COUNTY OF)
I HEREBY CERTIFY th	at as an individual, I
	(Name of Individual)
	and as a d/b/a (doing business as)
	(if applicable)
	exist under the laws of the State of Florida.
"RESOLVED, that, as a	an individual and/or d/b/a (if applicable), be and is hereby authorized to execute the
	, 20, to the City of Miami as an individual and/or d/b/a (i
	execution thereof, attested by a Notary Public of the State, shall be the official ac
and deed of this attesta	• •
I further certify that said	d resolution is now in full force and effect.
IN WITNESS WHEREC	OF, I have hereunto set my hand and affixed the official seal of Notary Public this, 20
NOTARY PUBLIC:	
Commission No.:	
I personally know the i	individual/do not know the individual (Please Circle)
Driver's License #	
(SEAL)	

FAILURE TO COMPLETE, SIGN, AND RETURN THIS FORM MAY DISQUALIFY YOUR RESPONSE



8.3 DEBARMENT AND SUSPENSION

(a) Authority and requirement to debar and suspend:

After reasonable notice to an actual or prospective contractual party, and after reasonable opportunity to such party to be heard, the City Manager, after consultation with the Chief Procurement Officer and the City Attorney, shall have the authority to debar a contractual party for the causes listed below from consideration for award of city contracts. The debarment shall be for a period of not fewer than three years. The City Manager shall also have the authority to suspend a contractor from consideration for award of City contracts if there is probable cause for debarment. Pending the debarment determination, the authority to debar and suspend contractors shall be exercised in accordance with regulations, which shall be issued by the Chief Procurement Officer after approval by the City Manager, the City Attorney, and the City Commission.

- (b) Causes for debarment or suspension include the following:
 - Conviction for commission of a criminal offense incident to obtaining or attempting
 to obtain a public or private contract or subcontract, or incident to the performance
 of such contract or subcontract.
 - 2. Conviction under state or federal statutes of embezzlement, theft, forgery, bribery, falsification or destruction of records, receiving stolen property, or any other offense indicating a lack of business integrity or business honesty.
 - Conviction under state or federal antitrust statutes arising out of the submission of bids or Responses.
 - 4. Violation of contract provisions, which is regarded by the Chief Procurement Officer to be indicative of non-responsibility. Such violation may include failure without good cause to perform in accordance with the terms and conditions of a contract or to perform within the time limits provided in a contract, provided that failure to perform caused by acts beyond the control of a party shall not be considered a basis for debarment or suspension.
 - 5. Debarment or suspension of the contractual party by any federal, state or other governmental entity.
 - 6. False certification pursuant to paragraph (c) below.
 - 7. Any other cause judged by the City Manager to be so serious and compelling as to affect the responsibility of the contractual party performing City contracts.



(c) <u>Certification:</u>

All contracts for goods and services, sales, and leases by the City shall contain a certification that neither the contractual party nor any of its principal owners or personnel has been convicted of any of the violations set forth above or debarred or suspended as set forth in paragraph (b) (5).

The undersigned hereby certifies that neither the contractual party nor any of its principal owners or personnel has been convicted of any of the violations set forth above, or debarred or suspended as set forth in paragraph (b) (5).

FAILURE TO SIGN THIS FORM SHALL DISQUALIFY YOUR RESPONSE. ADDITIONALLY, THIS FORM IS REQUIRED OF ALL SUB-CONSULTANTS LISTED ON THE RESPONDENT'S TEAM AND FORMS SIGNED BY A REPRESENTATIVE OF EACH FIRM SHALL BE SUBMITTED WITH THE RESPONDENTS' RFQ PACKAGE

Request for Qualifications Proposal Cover Letter

RFQ Title:	
RFQ No.:	
Proposer (Legal Company Nam	e):
Address:	
Proposer's Internet Address:	
FEIN #:	State of Florida Corporation No.:
State Incorporated/Organized.:	
Proposer's Contact Person:	
Title:	
Telephone #:	E-Mail:
Certification of Compliance	
	that neither the contractual party nor any of its principal owners d of any of the violations, or debarred or suspended as set in 12271.
certify that this submission is made any corporation, firm, or person equipment, or service, and is in a abide by all terms and conditions	rmation contained in this submission is true; and we (I) further de without prior understanding, agreement, or connection with submitting a submission for the same materials, supplies, all respects fair and without collusion or fraud. We (I) agree to of this solicitation and certify that I am authorized to sign this ase print the following and sign your name:
Proposer's Authorized Represe	<u>ntative</u>
Name:	
Title:	
Signature:	
Date:	

Request for Qualifications Proposal Narrative Form

RFQ Title:	
RFQ Number:	
Name of Proposer:	
In the space provided below respond to the requirements for Constrand Inspection, Section 5.1 A. <u>Section A</u> 3. Narrative.	uction, Engineering



Request for Qualifications Qualifications of Proposer

Instructions

This form is to be completed and submitted in accordance with the requirements of the RFQ to which your firm is responding. Do not leave any blanks or fail to provide any information or details that are required. Failure to submit this form or the use of any other form will result in the rejection of a proposal as non-responsive. The failure to provide the information or details required by the form may result in the rejection of a Response as non-responsive.

RFQ Solicitation No.: _		RFQ Title:	
Name of Proposer:			
Primary types of service	ces provided (residential, re	sidential high-rise, mixed use, of	fices, park facilities, gov't offices, etc.):
Type of Firm: Corp	oration LLC Part	nership Sole Proprieto	or
Certified Small or Min	ority Owned Business:	Yes No Issued B	y (name):
Type of Ownership Ce	rtification(s):		
			office location:
Will Proposer rely on	any other branch or sub	sidiary office to perform th	he work? Yes No No
If yes, location of thes	e offices:		
			s undergone a name change in the past 5 ne (not a result of a sale of the firm):
Type and number of p	projects completed in the	e past 5 years:	
Design-Bid Build:	Design/Build:	CM@Risk :	N/A 🗌
Private Sector:	Federal Gov't:	State/County/Municip	oal:
City of Miami:	_ Name of Projects:		
Retail:		e Certified Projects:	Mixed Use:

Request for Qualifications Qualifications of Proposer Form RFQ-QP

Number of Employees: LEED-AP Professionals CTQP Licensed Inspectors	Licensed Architects: Licensed General Contractors Other(list)	,
List, explain and provide the status years: (add additional pages if necessary)	s of all claims, lawsuits, and judgments	pending or settled within the last 5
Brief History of the Firm:		

In the remaining space on this page provide a brief history of the Proposer's Firm:

Request for Qualifications Qualifications of Proposer Form RFQ-QP

List below the names of owners, officers, principals, and the principal-in-charge-of the Proposer's firm together with the title and percentage of ownership (add additional pages as necessary):

Name	Title	% Ownership
		in Form RFQ-QP is accurate and
		ermines that any of the information is
incorrect or faise the City may at it	s sole discretion reject the Respon	ise as non-responsive.
Ву:		
Signature of Authorized Office	r	Date
Printed Name		 Title

Request for Qualifications Qualifications of Proposer's Team

Instructions

RFQ Solicitation No : 16-17-034

This form is to be completed and submitted RFQ in accordance with the requirements to which your firm is responding. Do not leave any blanks or fail to provide any information or details that are required. Failure to submit this form or the use of any other form will result in the rejection of a proposal as non-responsive. Failure to provide the information or details required by the form may result in the rejection of a Response a non-responsive.

REQ Title: CONSTRUCTION ENGINEERING AND INSPECTION SERVICES

	FOR OVERTOWN GREENWAY AT NW 11 [™] TERRACE				
Name of Proposer:					
Subconsultants/Subcontrac	tors:				
Name of Firm	Office Location: City/State	Discipline(s) to be provided	License No.	LEED Ce	rtified Staf
				_ Yes 🗌	No 🗌
				_ Yes [No 🗌
	<u> </u>			_ Yes [No 🗌
				_ Yes [No 🗌
	<u> </u>			_ Yes [No 🗌
				_ Yes [No 🗌
				_ Yes 🗌	No 🗌
				_ Yes 🗌	No 🗌
				_ Yes 🗌	No 🗌
				Voc 🗆	No 🗆

Request for Qualifications Qualifications of Proposer's Team

Key Personnel

Only include personnel who will play a key role. Do not include support personnel for positions such as CADD Technicians, Inter or Associate level staff, and other similar support personnel. As stipulated in the RFQ a resume is to be included for each of the key personnel.

Name	Role	Name of Firm	License No.	Years Experience	Years with Firm	LEED Certified
	Project Manager					Yes No No
						Yes No No
						Yes No No
						Yes No No
						Yes 🗌 No 🗌
						Yes No No
						Yes No
						Yes No
						Yes No
						Yes No
						Yes No
						Yes No
						Yes No

Request for Qualifications Qualifications of Proposer Form RFQ-PP

Instructions (one page per project)

Proposer shall complete the following information for completed projects within the past five (5) years, where the Proposer believes the project is of equal or greater scope, size, and complexity that best represent its ability to complete this Project. Complete all required information and submit this Form as required by the RFQ. Failure to submit this Form or complete the Form may result in the Response being rejected as non-responsive. List no more than 5 projects: Reference form RPQ-PP-R must be submitted for each Form RFQ-PP that is submitted.

RFQ Solicitation No.:	RFQ Title:		
Name of Proposer:	Name of Project:		
Address of Project:			
Name of Owner:	Contact Name:		
Contact Telephone No.			
Brief Scope of Project & how project is similar	r:		
Value of Design Fees: Awarded:	Actual: N/A [
Basis for difference in value:			
Value of Construction: (if applicable): Awarde	d: Actual:	N/A 🗌	
Basis for difference in value:			
Project Completion (no. of calendar days): Pro	jected: Actual:	N/A [
Type of Project: design-bid-build design	/build CM@Risk Cother (specify):		
LEED or Green Globe Certified Project: Ye	s No If yes, level of Certification:		
By:			
Signature of Authorized Officer	Date		
Printed Name	Title		

City of Miami



Daniel J. Alfonso City Manager

To Whom it May Concern

Subject: Reference Letter for Consultant

Name of Proposer:

The above referenced Consultant is submitting on a Request for Qualifications that has been issued by the City of Miami. We require that the Proposer provide written references with their submission and by providing you with this document the Consultant is requesting that you provide the following reference information. We would appreciate you providing the information requested below as well as any other information your feel is pertinent:

Name of Project:
Scope of work:
/alue of project: \$ Value of Design Services:
Delivery method: 🗌 Design-Bid-Build 🗌 CM@Risk 🗌 Design-Build 🗌 Other ()
Design completed on time & within budget: Yes No
f no, was the Consultant at fault or contribute to the delay(s) or increased cost? $\; \square \;$ Yes $\; \square \;$ No
Quality of Design:
Errors & Omissions: Above expectations Average Below Expectations Above expectations means there were fewer errors & omissions than anticipated)
Did Errors & Omissions result in increased construction cost? Yes No
Did Consultant provide Construction Administration services? Yes No limited scope
Vas the Consultant responsive to the Owner & Contractor?: ☐ Yes ☐ No
Vas Consultant timely in its reviews and submittals? ☐ Yes ☐ No
Comments:
Name of individual completing this form: Date:
Signature: Title:
Felephone: E-mail:
Sincerely,
leovanny Rodriguez, P.E. Director – Capital Improvements and Transportation Program

Request for Qualifications Proposal Philosophy Methodology & Process

RFQ Title:
RFQ Number:
Name of Proposer:
In the space provided below respond to the requirements for Philosophy, Methodology & Process, Section 5.1 A. Section B 1. of the RFQ.

Request for Qualifications Technical Approach

RFQ Title:	
RFQ Number:	
Name of Proposer:	
In the space provided below respond to the requirements of the RFP Approach.	regarding Technical
	······································

Request for Qualifications Qualifications of Proposer – Senior Project Administrator

Instructions (one page per project)

For the Senior Project Administrator to provide the following information for completed projects within the past five (5) years, where the individual served as the Senior Project Administrator for the stated project. Each project submitted should be of equal or greater scope, size, and complexity. Complete all required information and submit this Form as required by the RFQ. Failure to submit this Form or complete the Form may result in the Response being rejected as non-responsive. List no more than 5 projects: Reference form RPQ-PA-R must be submitted for each Form RFQ-PA that is submitted.

RFQ Solicitation No.: R	RFQ Title:		
Name of Proposer: N	Address of Project: Contact Name:		
Name of Project: Ad			
Name of Owner:			
Contact Telephone No			
Brief Scope of Project & how project is similar: _			
Value of Design Fees: Awarded:	Actual: N/A		
Basis for difference in value:			
Value of Construction: (if applicable): Awarded:	Actual:	N/A 🗌	
Basis for difference in value:			
	ed: Actual:		
Type of Project: design-bid-build design/build	d 🗌 CM@Risk 🔲 Other (specify):		
LEED or Green Globe Certified Project: Yes	No If yes, level of Certification:		
Was work performed as an employee of the Propo	oser?		
By:			
Signature of Authorized Officer	Date		
Printed Name	Title		

City of Miami

DANIEL J. ALFONSO City Manager



To Whom it May Concern					
Subject: Reference Letter for CEI – Senior Project Administrator					
Name of Proposer:Name of Senior Project Administrator:					
The above referenced Consultant is submitting on a Request for Qualifications that has been issued by the City of Miami. We require that the Proposer provide written references for their Senior Project Administrator with their submission and by providing you with this document the Consultant is requesting that you provide the following reference information. We would appreciate you providing the information requested below as well as any other information your feel is pertinent: Name of Project:					
Scope of work:					
Value of project: \$ Value of Design Services:					
Delivery method: Design-Bid-Build CM@Risk Design-Build Other ()					
Construction completed on time & within budget:					
If no, did the PM at contribute to the delay(s) or increased cost?					
Quality of Design: Above expectations Average Below Expectations					
Errors and Omissions: Above expectations Average Below Expectations (Above expectations means there were fewer errors & omissions than anticipated)					
Did Errors & Omissions result in increased construction cost? ☐ Yes ☐ No					
Was the Project Administrator responsive to the Owner & Contractor? Yes No					
Was the Project Administrator timely with reviews and submittals?					
Name of individual completing this form:					
Name of individual completing this form: Date:					
Signature: Title:					
Telephone: E-mail:					
Sincerely,					
Jeovanny Rodriguez, P.E.					

Jeovanny Rodriguez, P.E. Director, Capital Improvements and Transportation Program

Request for Qualifications Qualifications of Proposer – Civil Construction Inspector

Instructions (one page per project)

For the Civil Construction Inspector to provide the following information for completed projects within the past five (5) years, where the individual served as the Civil Construction Inspector for the stated project. Each project submitted should be of equal or greater scope, size, and complexity. Complete all required information and submit this Form as required by the RFQ. Failure to submit this Form or complete the Form may result in the Response being rejected as non-responsive. List no more than 5 projects: Reference form RPQ-CCI-R must be submitted for each Form RFQ-CCI that is submitted.

RFQ Solicitation No.:	RFQ Title:		
Name of Proposer:	Name of Civil Construction Inspector: Address of Project: Contact Name: Contact e-mail address:		
Name of Project:			
Name of Owner:			
Contact Telephone No.			
Brief Scope of Project & how project	is similar:		
Value of Fees: Awarded:	Actual:	N/A 🗌	
Basis for difference in value:			
Value of Construction: (if applicable):	Awarded:	Actual:	N/A 🗌
Basis for difference in value:			
Project Completion (no. of calendar da			
Type of Project: vertical horizo	ntal Other (specify):		
Type of Horizontal Project:			
Was work performed as an employee	of the Proposer?	No	
Ву:			
Signature of Authorized Officer		Date	
Printed Name		 Title	

City of Miami

DANIEL J. ALFONSO City Manager



To Whom it May Concern					
Subject: Reference Letter for CEI – Civil Construction Inspector					
Name of Proposer:Name of Civil Construction Inspector:					
The above referenced Consultant is submitting on a Request for Qualifications that has been issued by the City of Miami. We require that the Proposer provide written references for their Civi Construction Inspector with their submission and by providing you with this document the Consultant is requesting that you provide the following reference information. We would appreciate you providing the information requested below as well as any other information your feel is pertinent: Name of Project:					
•					
Scope of work:					
Value of project: \$ Value of Services:					
Type of Project:					
Description of Project:					
Were inspections performed timely? Yes No					
Quality of Reports: Above expectations Average Below Expectations					
Errors and Omissions: Above expectations Average Below Expectations (Above expectations means there were fewer errors & omissions than anticipated)					
Did Errors & Omissions result in increased construction cost? ☐ Yes ☐ No					
Was the Civil Construction Inspector responsive to the Owner & Contractor? Yes No					
Was the Civil Construction Inspector timely with reviews and submittals? — Yes — No Did the Civil Construction Inspector quickly resolve issues as they arose? — Yes — No Comments:					
Name of individual completing this form: Date:					
Signature: Title:					
Telephone: E-mail:					
Sincerely,					
Jeovanny Rodriguez, P.E. Director, Capital Improvements and Transportation Program					

Request for Qualifications Qualifications of Proposer – Contract Compliance Specialist

Instructions (one page per project)

For the Contract Compliance Specialist to provide the following information for completed projects within the past five (5) years, where the individual served as the Contract Compliance Specialist for the stated project. Each project submitted should be of equal or greater scope, size, and complexity. Complete all required information and submit this Form as required by the RFQ. Failure to submit this Form or complete the Form may result in the Response being rejected as non-responsive. List no more than 5 projects: Reference form RPQ-CCS-R must be submitted for each Form RFQ-CCS that is submitted.

RFQ Solicitation No.:	RFQ Title:			
Name of Proposer:	Name of Contract Com	pliance Specialist:_		
Name of Project:	Address of Project:			
Name of Owner:	Contact Name:			
Contact Telephone No.	Contact e-mail address	3 :		
Brief Scope of Project & how project is simila	r:			
Value of Design Fees: Awarded:	Actual:		N/A 🗌	
Basis for difference in value:				
Value of Construction: (if applicable): Awarde	d:	Actual:		N/A 🗌
Basis for difference in value:				
Project Completion (no. of calendar days): Proj	jected:	Actual:		N/A
Type of Project: ☐ design-bid-build ☐ design	/build CM@Risk COth	ner (specify):		
LEED or Green Globe Certified Project: \square Ye	s	rtification:		
Was work performed as an employee of the P	roposer?			
Ву:				
Signature of Authorized Officer		Date		
Printed Name		Title		

City of Miami

DANIEL J. ALFONSO City Manager



To Whom it May Concern
Subject: Reference Letter for CEI – Contract Compliance Specialist
Name of Proposer:Name of Contract Compliance Specialist:
The above referenced Consultant is submitting on a Request for Qualifications that has been issued by the City of Miami. We require that the Proposer provide written references for their Contract Compliance Specialist with their submission and by providing you with this document the Consultant is requesting that you provide the following reference information. We would appreciate you providing the information requested below as well as any other information your feel is pertinent:
Name of Project:
Scope of work:
Value of project: \$ Value of Design Services:
Delivery method: Design-Bid-Build CM@Risk Design-Build Other ()
Construction completed on time & within budget:
If no, did the Contract Compliance Specialist contribute to the delay(s) or increased cost \square Yes \square No
Quality of Design: Above expectations Average Below Expectations
Errors and Omissions:
Did Errors & Omissions result in increased construction cost? ☐ Yes ☐ No
Was the Contract Compliance Specialist responsive to the Owner & Contractor?
Was the Contract Compliance Specialist timely with reviews and submittals? Did the Contract Compliance Specialist quickly resolve issues as they arose? Yes No Comments:
Name of individual completing this form: Date:
Signature: Title:
Telephone:
Sincerely,
Jeovanny Rodriguez, P.E. Director, Capital Improvements and Transportation Program



Request for Qualifications **Qualifications of Proposer Key Personnel Workload Capacity**

Instructions: This form is to be completed for each of the Key Personnel identified in Form RFQ-QT. Month 1 is to be calculated starting

Owner of Project	Value of Project	Role in Project	Status of Project
Owner of Project	Value of Project	Role in Project	
Availability based on 9	% of time per month		
Month 1 Month 2	Month 3		
Month 4 Month 5	Month 6 _		
	Month 9	 	
Month 10 Month 11	Month 12		
P=Permitting			
	n		
PC- Post Construction			
	Month 1 Month 2 Month 4 Month 5 Month 7 Month 8 Month 10 Month 11 P=Permitting CA=Construction Administration	Month 4 Month 5 Month 6 _ Month 7 Month 8 Month 9 _ Month 10 Month 11 Month 12 P=Permitting CA=Construction Administration	Month 1 Month 2 Month 3 Month 4 Month 5 Month 6 Month 7 Month 8 Month 9 Month 10 Month 11 Month 12 P=Permitting CA=Construction Administration

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Form RFQ- WC 02/18/09

Title:						RFQ No.:	
			List of Sub-c	onsultants			
TOTAL CONTRAC	CT AMOUNT:	\$					
updated after awa		s additional Sub-c	onsultants are add	l Sub-consultants to be ι led or changed. Identify sary.			
Name of Firm	Address	Scope of Work	Professional Licenses	Business Category	CBE Certification Number	\$ Total Sub- Contract Amount	% of Design Work
		-	•	ty Small Business Enterpris	e		
Name of Proposer	··				Date:		
Name of Individua	I Completing Form:			Signature:			



SECTION 9

9.0 FDOT CERTIFICATIONS AND FORMS (Attached)

- > FDOT Form #375-030-30 Truth in Negotiation Certification
- FDOT Form #375-030-32 Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
- FDOT Form #375-030-33 Certification and Disclosure of Lobbying Activities on Federal Aid Projects
- > FDOT Form #375-030-50 Conflict of Interest/Confidentiality Certification

375-030-30 PROCUREMENT

TRUTH IN NEGOTIATION CERTIFICATION

Pursuant to Section 287.055(5)(a), Florida Statutes, for any lump-sum or cost-plus-a-fixed fee professional services contract over the threshold amount provided in Section 287.017, Florida Statutes for CATEGORY FOUR, the Department of Transportation (Department) requires the Consultant to execute this certificate and include it with the submittal of the Technical Proposal, or as prescribed in the contract advertisement.

The Consultant hereby certifies, covenants, and warrants that wage rates and other factual unit costs supporting the compensation for this project's agreement are accurate, complete, and current at the time of contracting.

The Consultant further agrees that the original agreement price and any additions thereto shall be adjusted to exclude any significant sums by which the Department determines the agreement price was increased due to inaccurate, incomplete, or noncurrent wage rates and other factual unit costs. All such agreement adjustments shall be made within (1) year following the end of the contract. For purposes of this certificate, the end of the agreement shall be deemed to be the date of final billing or acceptance of the work by the Department, whichever is later.

	Name of Consultant	
5		
Ву:		Date

CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSIONLOWER TIER COVERED TRANSACTIONS FOR FEDERAL AID CONTRACTS

(Compliance with 2 CFR Parts 180 and 1200)

It is certified that neither the below identified firm nor its principals are presently suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency.

Name of Consultant/Contractor:		
Ву:		
Date:		
Title:		

Instructions for Certification

Instructions for Certification - Lower Tier Participants:

(Applicable to all subcontracts, purchase orders and other lower tier transactions requiring prior FHWA approval or estimated to cost \$25,000 or more - 2 CFR Parts 180 and 1200)

- a. By signing and submitting this proposal, the prospective lower tier is providing the certification set out below.
- b. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department, or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
- c. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous by reason of changed circumstances.
- d. The terms "covered transaction," "debarred," "suspended," "ineligible," "participant," "person," "principal," and "voluntarily excluded," as used in this clause, are defined in 2 CFR Parts 180 and 1200. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations. "First Tier Covered Transactions" refers to any covered transaction between a grantee or subgrantee of Federal funds and a participant (such as the prime or general contract). "Lower Tier Covered Transactions" refers to any covered transaction under a First Tier Covered Transaction (such as subcontracts). "First Tier Participant" refers to the participant who has entered into a covered transaction with a grantee or subgrantee of Federal funds (such as the prime or general contractor). "Lower Tier Participant" refers any participant who has entered into a covered transaction with a First Tier Participant or other Lower Tier Participants (such as subcontractors and suppliers).
- e. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
- f. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions exceeding the \$25,000 threshold.
- g. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant is responsible for ensuring that its principals are not suspended, debarred, or otherwise ineligible to participate in covered transactions. To verify the eligibility of its principals, as well as the eligibility of any lower tier prospective participants, each participant may, but is not required to, check the Excluded Parties List System website (https://www.epls.gov/), which is compiled by the General Services Administration.
- h. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- i. Except for transactions authorized under paragraph e of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

CERTIFICATION FOR DISCLOSURE OF LOBBYING ACTIVITIES ON FEDERAL-AID CONTRACTS (Compliance with 49CFR, Section 20.100 (b))

The prospective participant certifies, by signing this certification, that to the best of his or her knowledge and belief:

- (1) No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer of employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities", in accordance with its instructions. (Standard Form-LLL can be obtained from the Florida Department of Transportation's Professional Services Administrator or Procurement Office.)

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The prospective participant also agrees by submitting his or her proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts, which exceed \$100,000 and that all such subrecipients shall certify and disclose accordingly.

Name of Consultant:		
Ву:	Date:	Authorized Signature
Title:		

CONFLICT OF INTEREST / CONFIDENTIALITY CERTIFICATION FORM

Choose which version of the Certification you need:

TECHNICAL REVIEW COMMITTEE / TECHNICAL ADVISORS
SELECTION COMMITTEE
PUBLIC OFFICERS/EMPLOYEES
TECHNICAL REVIEW/AWARDS COMMITTEE FOR LOW BID PROJECTS
CONSULTANT/CONTRACTOR SERVING IN THE ROLE OF PROJECT MANAGER
CONSULTANT/CONTRACTOR

375-030-50 PROCUREMENT OGC - 09/16

CONFLICT OF INTEREST/CONFIDENTIALITY CERTIFICATION TECHNICAL REVIEW COMMITTEE/DOT TECHNICAL ADVISORS

I certify that I have no present conflict of interest on the projects identified below, and that I will recuse myself from any capacity of decision making, approval, disapproval, or recommendation of any consultant/contractor/vendor for selection on any contract if I have a conflict of interest or a potential conflict of interest. As set forth in Sections 112.313 and 334.193, Florida Statutes, employees of the Department may not have any interest, financial or otherwise, direct or indirect; engage in any business transaction or professional activity; or accept any obligation of any kind which is in conflict with the proper conduct of their duties in the public interest.

I recognize that employees are expected to honor the ethical obligations inherent in public service. These obligations go beyond mere legal obligations and demand from the employee a greater sensitivity to his or her conduct, as well as the public's perception of such conduct.

Employees are expected to safeguard their ability to make objective, fair, and impartial decisions, and therefore may not accept benefits of any sort under circumstances in which it could be inferred by a reasonable observer that the benefit was intended to influence a pending or future decision of theirs, or to reward a past decision. Employees should avoid any conduct (whether in the context of business, financial, or social relationships) which might undermine the public trust, whether or not that conduct is unethical or lends itself to the appearance of ethical impropriety.

I will maintain the confidentiality of all information not made public by the Florida Department of Transportation ("Department") related to the procurement of the above-referenced ("Project") that I gain access to as a result of my involvement with the Project ("Procurement Information"). I understand that Procurement Information includes, but is not limited to, documents prepared by or for the Department related to procurement of the Project. I also understand that Procurement Information includes, but is not limited to, documents submitted to the Department by entities seeking an award of the Project ("Proposers"). I understand that Procurement Information may include documents submitted by Proposers related to letters of response/letters of interest, technical proposals, price proposals, financial proposals, and information shared during exempt meetings. I also understand that Procurement Information may also include documents that evaluate or review documents submitted by Proposers, and information regarding Project cost estimates. I also agree not to discuss the Project with anyone who is a member of or acting on behalf of a Proposer.

Unless so ordered by a court of competent jurisdiction or an opinion of the Office of the Florida Attorney General, I will not divulge any Procurement Information except to individuals who have executed a Conflict of Interest/Confidentiality Certification which has been approved by the Department ("Project Personnel"). I understand that a list of Project Personnel will be maintained by Department. If I am contacted by any member of the public or the media with a request for Procurement Information, I will promptly forward such request to the Department's Procurement Office. I will also maintain security and control over all documents containing Procurement Information which are in my custody.

I agree not to solicit or accept gratuities, unwarranted privileges or exemptions, favors, or anything of value from any firm under consideration for an agreement associated with the Project, and I recognize that doing so may be contrary to statutes, ordinances, and rules governing or applicable to the Department or may otherwise be a violation of the law.

I agree not to engage in bid tampering, pursuant to Section 838.22, Florida Statutes.

I realize that violation of the above mentioned statutes would be punishable in accordance with Section 112.317, Section 334.193, or Section 838.22, Florida Statutes, and could result in disciplinary action by the Department.

Description	Financial Project Number(s)
	·
Signatures	Date
	signed individual agrees to the terms of this Co

CONFLICT OF INTEREST CERTIFICATION TECHNICAL REVIEW COMMITTEE Additional Page

Advertisement No./ Solicitation No.	Description		Financial Project I	Number(s)
	Techn	ical Review Committee Members (Continued)	s:	
Printed Names		Signatures	Date	
		DOT Technical Advisors:		
Printed Names		Signatures	Date	
		-		
		-		

375-030-50 PROCUREMENT OGC - 09/16

CONFLICT OF INTEREST/CONFIDENTIALITY CERTIFICATION SELECTION COMMITTEE

I certify that I have no present conflict of interest on the projects identified below, and that I will recuse myself from any capacity of decision making, approval, disapproval, or recommendation of any consultant/contractor/vendor for selection on any contract if I have a conflict of interest or a potential conflict of interest. As set forth in Sections 112.313 and 334.193, Florida Statutes, employees of the Department may not have any interest, financial or otherwise, direct or indirect; engage in any business transaction or professional activity; or accept any obligation of any kind which is in conflict with the proper conduct of their duties in the public interest.

I recognize that employees are expected to honor the ethical obligations inherent in public service. These obligations go beyond mere legal obligations and demand from the employee a greater sensitivity to his or her conduct, as well as the public's perception of such conduct.

Employees are expected to safeguard their ability to make objective, fair, and impartial decisions, and therefore may not accept benefits of any sort under circumstances in which it could be inferred by a reasonable observer that the benefit was intended to influence a pending or future decision of theirs, or to reward a past decision. Employees should avoid any conduct (whether in the context of business, financial, or social relationships) which might undermine the public trust, whether or not that conduct is unethical or lends itself to the appearance of ethical impropriety.

I will maintain the confidentiality of all information not made public by the Florida Department of Transportation ("Department") related to the procurement of the above-referenced ("Project") that I gain access to as a result of my involvement with the Project ("Procurement Information"). I understand that Procurement Information includes, but is not limited to, documents prepared by or for the Department related to procurement of the Project. I also understand that Procurement Information includes, but is not limited to, documents submitted to the Department by entities seeking an award of the Project ("Proposers"). I understand that Procurement Information may include documents submitted by Proposers related to letters of response/letters of interest, technical proposals, price proposals, financial proposals, and information shared during exempt meetings. I also understand that Procurement Information may also include documents that evaluate or review documents submitted by Proposers, and information regarding Project cost estimates. I also agree not to discuss the Project with anyone who is a member of or acting on behalf of a Proposer.

Unless so ordered by a court of competent jurisdiction or an opinion of the Office of the Florida Attorney General, I will not divulge any Procurement Information except to individuals who have executed a Conflict of Interest/Confidentiality Certification which has been approved by the Department ("Project Personnel"). I understand that a list of Project Personnel will be maintained by Department. If I am contacted by any member of the public or the media with a request for Procurement Information, I will promptly forward such request to the Department's Procurement Office. I will also maintain security and control over all documents containing Procurement Information which are in my custody.

I agree not to solicit or accept gratuities, unwarranted privileges or exemptions, favors, or anything of value from any firm under consideration for an agreement associated with the Project, and I recognize that doing so may be contrary to statutes, ordinances, and rules governing or applicable to the Department or may otherwise be a violation of the law.

I agree not to engage in bid tampering, pursuant to Section 838.22, Florida Statutes.

I realize that violation of the above mentioned statutes would be punishable in accordance with Section 112.317, Section 334.193, or Section 838.22, Florida Statutes, and could result in disciplinary action by the Department.

Advertisement No./ Solicitation No.	Description	F	inancial Project Number(s)
Each unders	•	he terms of this Conflict of Interest/Confidentia on Committee Members:	ality Certification.
Date:			
Printed Names		Signatures	

CONFLICT OF INTEREST CERTIFICATION SELECTION COMMITTEE Additional Page

Advertisement No./ Solicitation No.	Description	Financial Project Number(s)
Each unders		of this Conflict of Interest/Confidentiality Certification.
	Selection Comm	ittee Members:
Printed Names		Signatures
	_	
-		

375-030-50 PROCUREMENT OGC - 09/16

CONFLICT OF INTEREST/CONFIDENTIALITY CERTIFICATION PUBLIC OFFICERS/EMPLOYEES

I certify that I have no present conflict of interest on the projects identified below, and that I will recuse myself from any capacity of decision making, approval, disapproval, or recommendation of any consultant/contractor/vendor for selection on any contract if I have a conflict of interest or a potential conflict of interest. As set forth in Sections 112.313 and 334.193, Florida Statutes, public officers or employees of an agency may not have any interest, financial or otherwise, direct or indirect; engage in any business transaction or professional activity; or accept any obligation of any kind which is in conflict with the proper conduct of their duties in the public interest.

I recognize that State of Florida public officers or employees of an agency are expected to honor the ethical obligations inherent in public service. These obligations go beyond mere legal obligations and demand from the public officer or agency employee a greater sensitivity to his or her conduct, as well as the public's perception of such conduct.

State of Florida public officers or employees of an agency are expected to safeguard their ability to make objective, fair, and impartial decisions, and therefore may not accept benefits of any sort under circumstances in which it could be inferred by a reasonable observer that the benefit was intended to influence a pending or future decision of theirs, or to reward a past decision. Public officers or employees of an agency should avoid any conduct (whether in the context of business, financial, or social relationships) which might undermine the public trust, whether or not that conduct is unethical or lends itself to the appearance of ethical impropriety.

I will maintain the confidentiality of all information not made public by the Florida Department of Transportation ("Department") related to the procurement of the above-referenced ("Project") that I gain access to as a result of my involvement with the Project ("Procurement Information"). I understand that Procurement Information includes, but is not limited to, documents prepared by or for the Department related to procurement of the Project. I also understand that Procurement Information includes, but is not limited to, documents submitted to the Department by entities seeking an award of the Project ("Proposers"). I understand that Procurement Information may include documents submitted by Proposers related to letters of response/letters of interest, technical proposals, price proposals, financial proposals, and information shared during exempt meetings. I also understand that Procurement Information may also include documents that evaluate or review documents submitted by Proposers, and information regarding Project cost estimates. I also agree not to discuss the Project with anyone who is a member of or acting on behalf of a Proposer.

Unless so ordered by a court of competent jurisdiction or an opinion of the Office of the Florida Attorney General, I will not divulge any Procurement Information except to individuals who have executed a Conflict of Interest/Confidentiality Certification which has been approved by the Department ("Project Personnel"). I understand that a list of Project Personnel will be maintained by Department. If I am contacted by any member of the public or the media with a request for Procurement Information, I will promptly forward such request to the Department's Procurement Office. I will also maintain security and control over all documents containing Procurement Information which are in my custody.

I agree not to solicit or accept gratuities, unwarranted privileges or exemptions, favors, or anything of value from any firm under consideration for an agreement associated with the Project, and I recognize that doing so may be contrary to statutes, ordinances, and rules governing or applicable to the Department or may otherwise be a violation of the law.

I agree not to engage in bid tampering, pursuant to Section 838.22, Florida Statutes.

I realize that violation of the above mentioned statutes would be punishable in accordance with Section 112.317, Section 334.193, or Section 838.22, Florida Statutes, and could result in disciplinary action.

Click here	for additional page for typing	Names, Project Description a	nd Financial Project Numbers
Advertisement No./ Solicitation No.	Description		Financial Project Number(s)
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CONFLICT OF INTEREST CERTIFICATION PUBLIC OFFICERS/EMPLOYEES Additional Page

Advertisement No./ Solicitation No.	Description		Financial Project Number(s)
Each under	rsigned individual agrees to	o the terms of this Conflict of Inte	erest/Confidentiality Certification.
Printed Names		Signatures	Date

375-030-50 PROCUREMENT OGC - 09/16

CONFLICT OF INTEREST/CONFIDENTIALITY CERTIFICATION TECHNICAL REVIEW/ AWARDS COMMITTEE LOW BID PROJECTS

I certify that I have no present conflict of interest on the projects identified below, and that I will recuse myself from any capacity of decision making, approval, disapproval, or recommendation of any consultant/contractor/vendor for selection on any contract if I have a conflict of interest or a potential conflict of interest. As set forth in Sections 112.313 and 334.193, Florida Statutes, employees of the Department may not have any interest, financial or otherwise, direct or indirect; engage in any business transaction or professional activity; or accept any obligation of any kind which is in conflict with the proper conduct of their duties in the public interest.

I recognize that employees are expected to honor the ethical obligations inherent in public service. These obligations go beyond mere legal obligations and demand from the employee a greater sensitivity to his or her conduct, as well as the public's perception of such conduct.

Employees are expected to safeguard their ability to make objective, fair, and impartial decisions, and therefore may not accept benefits of any sort under circumstances in which it could be inferred by a reasonable observer that the benefit was intended to influence a pending or future decision of theirs, or to reward a past decision. Employees should avoid any conduct (whether in the context of business, financial, or social relationships) which might undermine the public trust, whether or not that conduct is unethical or lends itself to the appearance of ethical impropriety.

I will maintain the confidentiality of all information not made public by the Florida Department of Transportation ("Department") related to the procurement of the above-referenced ("Project") that I gain access to as a result of my involvement with the Project ("Procurement Information"). I understand that Procurement Information includes, but is not limited to, documents prepared by or for the Department related to procurement of the Project. I also understand that Procurement Information includes, but is not limited to, documents submitted to the Department by entities seeking an award of the Project ("Proposers"). I understand that Procurement Information may include documents submitted by Proposers related to letters of response/letters of interest, technical proposals, price proposals, financial proposals, and information shared during exempt meetings. I also understand that Procurement Information may also include documents that evaluate or review documents submitted by Proposers, and information regarding Project cost estimates. I also agree not to discuss the Project with anyone who is a member of or acting on behalf of a Proposer.

Unless so ordered by a court of competent jurisdiction or an opinion of the Office of the Florida Attorney General, I will not divulge any Procurement Information except to individuals who have executed a Conflict of Interest/Confidentiality Certification which has been approved by the Department ("Project Personnel"). I understand that a list of Project Personnel will be maintained by Department. If I am contacted by any member of the public or the media with a request for Procurement Information, I will promptly forward such request to the Department's Procurement Office. I will also maintain security and control over all documents containing Procurement Information which are in my custody.

I agree not to solicit or accept gratuities, unwarranted privileges or exemptions, favors, or anything of value from any firm under consideration for an agreement associated with the Project, and I recognize that doing so may be contrary to statutes, ordinances, and rules governing or applicable to the Department or may otherwise be a violation of the law.

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Click here for additional p	page for typing Names, Project Description a	and Financial Project Numbers
Т	echnical Review/Awards Committee Mem	nbers:
Each undersigned individu	al agrees to the terms of this Conflict of Inter	rest/Confidentiality Certification.
Printed Names	Signatures	Date

STATE OF FLORIDA DEPARTMENT OF TRANSPORTATION

CONFLICT OF INTEREST CERTIFICATION TECHNICAL REVIEW/ AWARDS COMMITTEE LOW BID PROJECTS Additional Page

Contract No(s):		
Printed Names	Signatures	Date
		
		
		
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CONFLICT OF INTEREST/CONFIDENTIALITY CERTIFICATION FOR CONSULTANT/CONTRACTOR SERVING IN THE ROLE OF PROJECT MANAGER FOR FDOT

I certify that I have no present conflict of interest, that I have no knowledge of any conflict of interest that my firm may have, and that I will recuse myself from any capacity of decision making, approval, disapproval, or recommendation on any contract if I have a conflict of interest or a potential conflict of interest.

Consultants/Contractors are expected to safeguard their ability to make objective, fair, and impartial decisions when performing work for the Department, and therefore may not accept benefits of any sort under circumstances in which it could be inferred by a reasonable observer that the benefit was intended to influence a pending or future decision of theirs, or to reward a past decision. Consultants performing work for the Department should avoid any conduct (whether in the context of business, financial, or social relationships) which might undermine the public trust, whether or not that conduct is unethical or lends itself to the appearance of ethical impropriety.

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I agree not to engage in bid tampering, pursuant to Section 838.22, Florida Statutes.

I realize that violation of the above mentioned standards could result in the termination of my work for the Department. I further realize that violation of the above mentioned statute would be punishable in accordance with Section 838.22, Florida Statutes.

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STATE OF FLORIDA DEPARTMENT OF TRANSPORTATION

CONFLICT OF INTEREST/CONFIDENTIALITY CERTIFICATION FOR CONSULTANT/CONTRACTOR SERVING IN THE ROLE OF PROJECT MANAGER FOR FDOT Additional Page

Advertisement No./ Solicitation No.	Description		Financi	al Project Number(s)
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CONFLICT OF INTEREST/CONFIDENTIALITY CERTIFICATION FOR CONSULTANT/CONTRACTOR/TECHNICAL ADVISORS

I certify that I have no present conflict of interest, that I have no knowledge of any conflict of interest that my firm may have, and that I will recuse myself from any capacity of decision making, approval, disapproval, or recommendation on any contract if I have a conflict of interest or a potential conflict of interest.

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STATE OF FLORIDA DEPARTMENT OF TRANSPORTATION

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